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**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
PLUG-0037-US (499)

Inventor Application Of: **Russel H. Marvin, et al.**

Serial No.	Filing Date	Examiner	Group Art Unit
09/823,263	3/30/01	N/A	2838

Invention: **Fuel Cell System Having an Energy Source Backup**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
5/17/01
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

**Recordation Form Cover Sheet and Assignment
Transmittal of Formal Drawings
4 Sheets of Formal Drawings**



**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
PLUG-0037-US (499)

Re Application Of: **Russel H. Marvin, et al.**

Serial No.
09/823,263

Filing Date
3/30/01

Examiner
N/A

Group Art Unit
2838

Invention: **Fuel Cell System Having an Energy Source Backup**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee **\$355.00**

☐ Design application filing fee

☒ Total number of independent claims = **4** **\$40.00**

☒ Total number of claims = **19**

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$460.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$460.00**

RECEIVED
JUL 23 2001
PATENT & TRADEMARK OFFICE 46301

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.
PLUG-0037-US (499)

Inventor Application Of: **Russel H. Marvin, et al.**

Serial No.
09/823,263

Filing Date
3/30/01

Examiner
N/A

Group Art Unit
2838

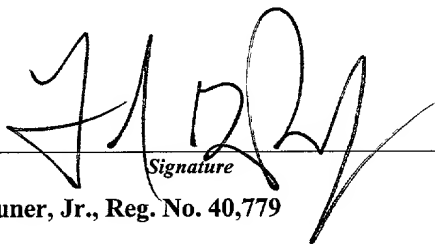
Invention: **Fuel Cell System Having an Energy Source Backup**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$460.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **20-1504**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **20-1504**
A duplicate copy of this sheet is enclosed.


Signature

Dated: **7/17/01**

Fred G. Pruner, Jr., Reg. No. 40,779



21906

PATENT TRADEMARK OFFICE

I certify that this document and fee is being deposited on **7/17/01** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Corey McGowan

Typed or Printed Name of Person Mailing Correspondence

CC:

和

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,263	03/30/2001	Russel H. Marvin	PLUG-0037-US(499)

FORMALITIES LETTER



OC000000006087311

Trop, Pruner & Hu, P.C.
Suite 100
8554 Katy Freeway
Houston, TX 77024

Date Mailed: 05/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

DATE	DESCRIPTION	AMOUNT	BALANCE
01/01/2017	OPENING BALANCE	100.00	100.00
02/01/2017	PAYROLL	15.00	85.00
03/01/2017	RENT	20.00	65.00
04/01/2017	UTILITIES	10.00	55.00
05/01/2017	SALES	30.00	85.00
06/01/2017	PAYROLL	15.00	70.00
07/01/2017	RENT	20.00	50.00
08/01/2017	UTILITIES	10.00	40.00
09/01/2017	SALES	30.00	70.00
10/01/2017	PAYROLL	15.00	55.00
11/01/2017	RENT	20.00	35.00
12/01/2017	UTILITIES	10.00	25.00
01/01/2018	SALES	30.00	55.00
02/01/2018	PAYROLL	15.00	40.00
03/01/2018	RENT	20.00	20.00
04/01/2018	UTILITIES	10.00	10.00
05/01/2018	SALES	30.00	40.00
06/01/2018	PAYROLL	15.00	25.00
07/01/2018	RENT	20.00	5.00
08/01/2018	UTILITIES	10.00	(5.00)
09/01/2018	SALES	30.00	20.00
10/01/2018	PAYROLL	15.00	5.00
11/01/2018	RENT	20.00	(15.00)
12/01/2018	UTILITIES	10.00	(25.00)
01/01/2019	SALES	30.00	(5.00)
02/01/2019	PAYROLL	15.00	(20.00)
03/01/2019	RENT	20.00	(40.00)
04/01/2019	UTILITIES	10.00	(50.00)
05/01/2019	SALES	30.00	(20.00)
06/01/2019	PAYROLL	15.00	(35.00)
07/01/2019	RENT	20.00	(55.00)
08/01/2019	UTILITIES	10.00	(65.00)
09/01/2019	SALES	30.00	(35.00)
10/01/2019	PAYROLL	15.00	(50.00)
11/01/2019	RENT	20.00	(70.00)
12/01/2019	UTILITIES	10.00	(80.00)
01/01/2020	SALES	30.00	(50.00)
02/01/2020	PAYROLL	15.00	(65.00)
03/01/2020	RENT	20.00	(85.00)
04/01/2020	UTILITIES	10.00	(95.00)
05/01/2020	SALES	30.00	(65.00)
06/01/2020	PAYROLL	15.00	(80.00)
07/01/2020	RENT	20.00	(100.00)
08/01/2020	UTILITIES	10.00	(110.00)
09/01/2020	SALES	30.00	(80.00)
10/01/2020	PAYROLL	15.00	(95.00)
11/01/2020	RENT	20.00	(115.00)
12/01/2020	UTILITIES	10.00	(125.00)
01/01/2021	SALES	30.00	(95.00)
02/01/2021	PAYROLL	15.00	(110.00)
03/01/2021	RENT	20.00	(130.00)
04/01/2021	UTILITIES	10.00	(140.00)
05/01/2021	SALES	30.00	(110.00)
06/01/2021	PAYROLL	15.00	(125.00)
07/01/2021	RENT	20.00	(145.00)
08/01/2021	UTILITIES	10.00	(155.00)
09/01/2021	SALES	30.00	(125.00)
10/01/2021	PAYROLL	15.00	(140.00)
11/01/2021	RENT	20.00	(160.00)
12/01/2021	UTILITIES	10.00	(170.00)
01/01/2022	SALES	30.00	(140.00)
02/01/2022	PAYROLL	15.00	(155.00)
03/01/2022	RENT	20.00	(175.00)
04/01/2022	UTILITIES	10.00	(185.00)
05/01/2022	SALES	30.00	(155.00)
06/01/2022	PAYROLL	15.00	(170.00)
07/01/2022	RENT	20.00	(190.00)
08/01/2022	UTILITIES	10.00	(200.00)
09/01/2022	SALES	30.00	(170.00)
10/01/2022	PAYROLL	15.00	(185.00)
11/01/2022	RENT	20.00	(205.00)
12/01/2022	UTILITIES	10.00	(215.00)
01/01/2023	SALES	30.00	(185.00)
02/01/2023	PAYROLL	15.00	(200.00)
03/01/2023	RENT	20.00	(220.00)
04/01/2023	UTILITIES	10.00	

FD

PART 2 - COPY TO BE RETURNED WITH RESPONSE